# 4 Solution Processed Hafnia Nanoaggregates: Influence of Surface Oxygen on Catalytic Soot Oxidation

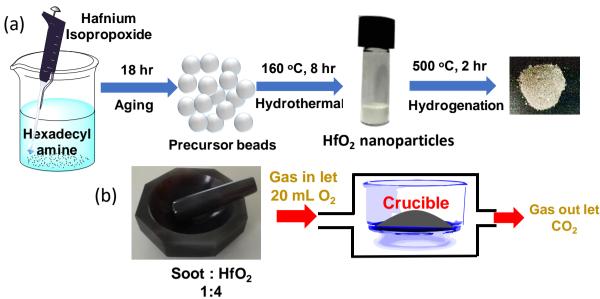
The work described in this chapter has been published in <u>ACS Sustainable Chem. Eng. 2018, 6,</u> <u>11286–11294</u>.

The process of Hafnium dioxide (HfO<sub>2</sub>) nanoaggregates are synthesized by sol–gel and hydrothermal routes followed by hydrogen annealing at different time durations. The proposed study aims to explore the effect of hydrogen annealing time on the properties of HfO<sub>2</sub> and also envisage the catalytic soot oxidation using HfO<sub>2</sub> nanoaggregates. It is observed that annealing under a hydrogen atmosphere brought about substantial changes in certain attributes such as chemical and textural properties with marginal changes in some other properties like optical activity and bandgap. The pristine HfO<sub>2</sub> without hydrogen annealing showed a lower ignition temperature. In contrast, hydrogen annealed HfO<sub>2</sub> for 2h showed the best catalytic performance characterized by the soot combustion temperature ( $T_{50}$ ) in contrast to samples prepared at a longer duration because of the higher surface adsorbed oxygen species in its widely distributed pores.

## 4.1 Experimental section

#### 4.1.1 Synthesis of HfO₂ Nanoparticles

HfO2 and H-HfO2 nanospheres were prepared via a modified sol-gel process followed by hydrothermal treatment.(Laishram, Shejale et al. 2016) Hafnium isopropoxide (HIP) (40 mM) was added dropwise into a mixture of 200 mL of ethanol and 1.975 g of hexadecylamine (HDA) under vigorous stirring. The mixture was kept in the dark for 18 h and then filtered. The filtered white precipitate was dried under air at room temperature and crushed to get a fine powder. The crushed powder (0.8 g) is dispersed in 10 mL of ethanol, 5 mL of deionized water (DI), and 0.25 mL of liquor ammonia by ultrasonication for 10 min. The mixture is then transferred to a Teflon-lined steel autoclave and kept for 8 h at 160 °C in a preheated oven. After the completion of the reaction, the resultant product was filtered and washed repeatedly with DI water followed by absolute ethanol before drying in a vacuum oven at 70 °C overnight. The obtained sample was subsequently ground in a mortar pestle and annealed in a tubular furnace at 500 °C for 2 h in the air to obtain a white colored pristine HfO<sub>2</sub> (p-HfO<sub>2</sub>). Similarly, samples were annealed for different time intervals at 2, 6, and 10 h under a gas flow of 10% H<sub>2</sub> and 90% N<sub>2</sub> for 500 °C at a heating rate of 2.5 °C min<sup>-1</sup> to get off-white colored H-HfO<sub>2</sub> nanoaggregates. The HfO<sub>2</sub> solid nanosphere aggregates were prepared by a combination of sol-gel and hydrothermal processes. In the foremost sol-gel step,  $HfO_2$  precursor beads were prepared by mixing the  $HfO_2$ precursor with hexadecylamine (HDA) in an alcoholic solution. The interaction between the hydrolyzed HIP molecules and the amine group of HDA results in the formation of organicinorganic oligomers with HDA acting as a structure directing agent. These oligomers polymerize, condense, and precipitate, leading to the formation of HfO<sub>2</sub> precursor beads. These precursor beads undergo a hydrothermal treatment in the next step to give nanoaggregates of HfO<sub>2</sub>. Finally, the powdered nanoaggregates are annealed at 500 °C in air for 2 h resulting in  $HfO_2$  nanoaggregates referred to as p- $HfO_2$ , and these were hydrogenated by annealing under H<sub>2</sub> at 500 °C for different time intervals (2, 6, and 10 h) and designated as H-HfO<sub>2</sub>\_2h, H-HfO<sub>2</sub>\_6h, and H-HfO<sub>2</sub>\_10h, respectively. A schematic illustration of the synthesis process is given in Figure 4.1a.



**Figure 4.1:** (a) Schematic demonstrating the synthesis of HfO<sub>2</sub> solid nanosphere aggregates by sol-gel and hydrothermal methods. (b) Representation of the process of soot-catalyst preparation for soot oxidation activity in a TGA crucible.

### 4.1.2 Soot Oxidation Activity

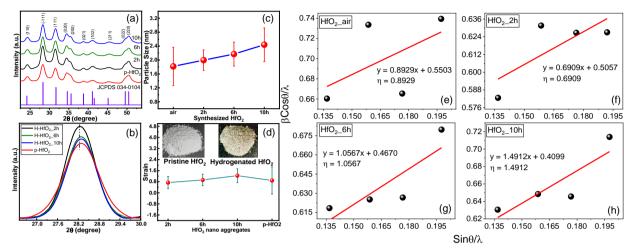
Catalytic soot oxidation activity was measured by thermogravimetric (TGA) analysis in a PerkinElmer, Simultaneous Thermal Analyzer (STA) equipped with a digital temperature controller from PolyScience. The model soot used for this study was carbon black (acetylene black) obtained from Alfa Aesar (1333-86-4). The weight loss measurement of the catalyst/soot mixture at a 4:1 ratio was studied in the temperature range from 30 to 900 °C under zero air at a 10 °C/min heating rate with an air-flow rate of 20 mL/min. The contact between the catalyst and soot plays a vital role, and the catalytic activity depends strongly on the interaction between the two solids and air. Tight contacts have been maintained by grinding the soot and catalyst in a mortar; this is important for the transfer of active oxygen with a catalyst surface and soot particles. Figure 4.1b represents the schematic for the measurement of soot oxidation activity.

## 4.2 Results and discussion

## 4.2.1 Structural Analysis

The XRD patterns of pristine and hydrogen annealed HfO<sub>2</sub> nanoaggregates are shown in Figure 4.2a; the patterns correspond to the monoclinic phase of HfO<sub>2</sub>, and all of the peaks are identified and indexed accordingly as per JCPDS data card no. 034-0104. Further, no other secondary peaks were observed, indicating that the as synthesized HfO<sub>2</sub> is of pure phase. The most prominent peak of HfO<sub>2</sub> matches the ( $\overline{111}$ ) monoclinic phase of HfO<sub>2</sub>, which is evident at 20 equal to 28.2°. (Ramana, Bharathi et al. 2012) H-HfO<sub>2</sub> showed a successive increase in peak intensity, with a  $2\theta$  shift toward a lower angle corresponding to higher d-spacing (Figure 4.2b). Additionally, an increase in average crystallite size can be observed as a result of hydrogen gulping by  $HfO_2$  particles upon annealing for a higher duration (2 h < 6 h < 10 h) as shown in Figure 4.2c. It was also found that the observed peak intensity is highest for H-HfO<sub>2</sub>\_2h and decreases with an increase in annealing time, which might be because of texturing in the crystal lattice. Furthermore, it was observed that the particle size (calculated from the Williamson Hall method) and the lattice strain increases with an increase in annealing time under an  $H_2$ atmosphere and is highest for H HfO<sub>2</sub>\_10h nanoaggregates (Table 4.1, Figure 4.2d). The strain states increase as HfO<sub>2</sub>\_2h < p-HfO<sub>2</sub> < H-HfO<sub>2</sub>\_2h < H-HfO<sub>2</sub>\_6h < H-HfO<sub>2</sub>\_10h. This is a consequence of prolonged annealing under reduced ambience, leading to the introduction of H<sub>2</sub> in the lattice of HfO2, which creates structural defects and oxygen vacancies in higher concentration.(Hosseinpour, Yung et al. 2014) Thus, the increased lattice strain (Figure 4.2d) for

hydrogen annealed HfO<sub>2</sub> nanoaggregates is a result of the increase in the grain boundaries and defects present. Annealing under reduced atmosphere imposes strain upon the Hf lattice, which affects the oxygen vacancy formation and surface chemical activity of the synthesized HfO<sub>2</sub> nanoaggregates. Thus, the increase in lattice strain is likely to affect the adsorption capability and binding ability of the adsorbates strongly. The effect of strain upon the synthesized HfO<sub>2</sub> nanoaggregates was determined by means of a Williamson Hall plot Figure 4.2(e, f, g and h)).



**Figure 4.2:** (a) XRD patterns of p-HfO<sub>2</sub> nanoparticles annealed in air and H-HfO<sub>2</sub>\_2h, H-HfO<sub>2</sub>\_6h, and H-HfO<sub>2</sub>\_1oh, (b) Plot showing peak intensity against with a 2 $\theta$  shift, (c) Plot showing variation in particle size of the synthesized HfO<sub>2</sub> nanoaggregates, (d) variation of strain calculated from Williamson Hall plot and inset is the difference in color of p-HfO<sub>2</sub> showing white color and H-HfO<sub>2</sub> with off-white color after annealing in H<sub>2</sub> and (e, f, g and h) Williamson Hall plot of the synthesized HfO<sub>2</sub> nano aggregates, the strain is found from the slope and the crystallite size from the y-intercept by the linear fitting.

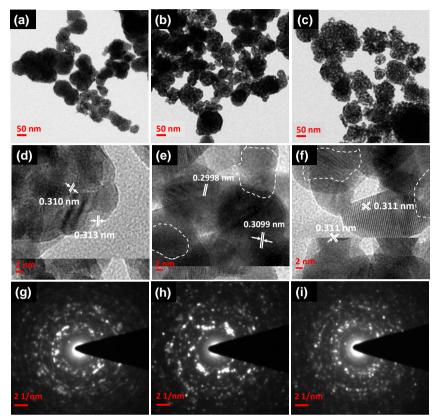
			<u> </u>	1
SI. No.	Sample	Particle Size (nm)	d-spacing (Å)	Strain (%)
1.	p-HfO₂	1.82	3.15	0.89
2.	H-HfO₂_2h	2.00	3.15	0.69
3.	H-HfO₂_6h	2.17	3.15	1.05
4.	H-HfO₂_10h	2.44	3.15	1.49

Table 4.1. Particle size, *d*-spacing and strain calculated for the hydrogenated HfO<sub>2</sub>.

A plot of catalytic activity and strain for all the synthesized nanoaggregates is given in Figure 4.5e. Chaubey et al. report poor crystallization of  $HfO_2$  nanoparticles under a reducing atmosphere (10% H<sub>2</sub> in N<sub>2</sub>) at 500 °C temperature for 4h. (Chaubey, Yao et al. 2012) Contrarily, the synthesized  $HfO_2$  showed uniform crystallinity for the entire annealing time range from 2 to 10 h under a reducing atmosphere. However, it is interesting to observe the decrease in crystallite size for all of the hydrogen annealed  $HfO_2$  compared to the air annealed pristine p- $HfO_2$  (Figure 4.2c and Table 4.1).

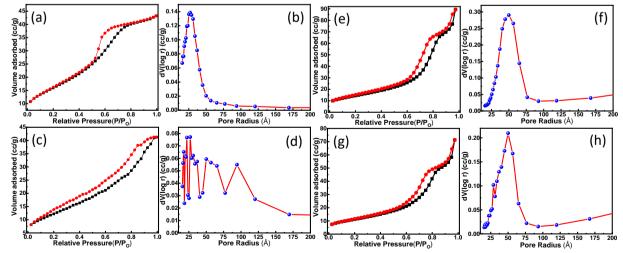
#### 4.2.2 Textural Analysis: TEM Analysis

TEM images show nanoaggregates of ~50-100 nm consisting of loosely bound nanoparticles (~5-10 nm) resembling a bunch of grapes. The size observed under TEM is different from that calculated from XRD probably because of the aggregated nature of nanoparticles.(Uvarov and Popov 2013) As observed from the TEM images in Figure 4.3a, b and c, the interparticle pore widening takes place upon hydrogen annealing. The average size of the HfO<sub>2</sub> aggregate, as well as nanoparticles visibly increase upon successively increasing hydrogen annealing from 2 to 6 h. As seen from HRTEM images, interplanar spacing is well-resolved for some of the non-overlapping nanoparticles because of expansion of the aggregated structure upon hydrogenation as seen for 6h\_hydrogen annealed HfO<sub>2</sub> in Figure 4.3f. Also, disordering of the crystal lattice as indicated by the encircled dotted lines is observed because of the introduction of hydrogen into the HfO<sub>2</sub> lattice (Figure 4.3e, f) as compared to the pristine case (Figure 4.3d). The (111) oriented HfO<sub>2</sub> nanoparticles (d  $\approx$  0.31 nm) corresponding to the monoclinic phase could be easily identified in the HRTEM images as well. Also, the sharp dotted rings of the SAED (selected area electron diffraction) pattern (Figure 4.3g-i) indicate that the polycrystalline and disordered nature of HfO<sub>2</sub> increases upon H<sub>2</sub> annealing.



**Figure 4.3:** (a) TEM and HRTEM images of air annealed  $HfO_2$  (a, d), 2 h (b, e), and 6 h (c, f) hydrogen annealed  $HfO_2$ . The SAED patterns of air annealed (g) and hydrogen annealed 2h (h) and 6h (i) samples, respectively, indicating the polycrystalline nature of  $HfO_2$ .

4.2.3 Textural Analysis: BET Analysis



**Figure 4.4:** (a) BET adsorption-desorption isotherm and pore size desorption curve for  $p-HfO_2$  (a, b),  $H-HfO_2_2h$  (c, d),  $H-HfO_2_6h$  (e, f), and  $HHfO_2_1oh$  (g, h), respectively.

To further understand the nature and geometry of the pores formed, BET adsorption–desorption isotherms were studied and analysed for the as-synthesized p-HfO<sub>2</sub>, H-HfO<sub>2</sub>\_2h, H-HfO<sub>2</sub>\_6h, and H-HfO<sub>2</sub>\_10h nanoparticles. The presence of the broad and wide hysteresis loop with a delay in the condensation-evaporation process is due to the porous nature of the material. The adsorption curves show an early initial monolayer coverage

followed by multilayer formation as observed in Figure 4 for all of the synthesized nanomaterials. The p-HfO<sub>2</sub> (Figure 4.4a) suggests the existence of the "ink bottleneck" type of pores following H<sub>2</sub> hysteresis of the IUPAC classification.(Lowell, Shields et al. 2004, Grosman and Ortega 2008, Nguyen, Fan et al. 2013, M. V. Raja and Barron 2019) The adsorption isotherm increases gradually until a relative P/P<sub>0</sub> of 0.6 followed by a change in slope at the curve beyond 0.6, which is attributable to the condensation taking place at the neck and the interconnected inner-bottled structure, respectively. However, the desorption curve of this isotherm tracked a delayed curve during the evaporation process up to 0.6 P/P<sub>0</sub> and beyond 0.6 of the neck and inner bottle correspondingly. The delay in such a case might be the effect of pore-blocking because of the presence of the interconnected network of the ink bottleneck-like pore structures. The pore size distribution calculated from the BJH desorption curve and was found to be ~0.054 cc/g with a pore radius of ~2.5 nm as shown in Figure 4.4b.

SI.	Sample	Surface Area	Pore Volume	Pore
No.	Name	(m²/g)	(cc/g)	Radius (Å)
				(A)
1.	p-HfO₂	57.81	0.05	25.22
2.	H-HfO₂_2h	44.95	0.05	17.50
3.	H-HfO₂_6h	51.76	0.47	44.59
4.	H-HfO₂_10h	40.88	0.67	49.99

Table 4.2: Surface properties of HfO<sub>2</sub> air, 2h, 6h and 10h from BET analysis.

Also, the hysteresis loop for p-HfO<sub>2</sub> Figure 4.4a is associated with a type-IV isotherm indicating capillary condensation in mesopores with a surface area of 57.81  $m^2/g$ . The hydrogen annealed H-HfO<sub>2</sub>\_2h nanoparticles, unlike p-HfO<sub>2</sub>, followed a type-III BET isotherm with a mixed type H2+H3 hysteresis loop as shown in Figure 4.4c.(Sing and Williams 2004, Navak and Navak 2016) It signals that the unrestricted multilayer formation as the lateral interaction between the absorbed molecules show stronger interaction compared to the adsorbate and the adsorbent surface. Additionally, it can be inferred that the pores, in this case, have a narrow (~1.5 nm radius) bottleneck type of pores along with plate-like lamellar aggregates forming slit porous nanostructures. The BJH pore size distribution is rather broad with pore sizes ranging from 1.5 to 17 nm with no definite maxima (Figure 4.4d). A slight decrease in pore volume (0.052 cc/g)was observed with a surface area of 44.95 m<sup>2</sup>/g. This also agrees well with the observed changes in the TEM images in Figure 4.3b, c. As the annealing temperature increases to 6 and 10 h, the type of isotherms starts to conform to a similar type-V isotherm following H3 hysteresis behaviour as given in Figure 4.4e, g. The pore radius at this point increases to 4.4 and 4.9 nm for HHfO<sub>2</sub>\_6h and H-HfO<sub>2</sub>\_10h, respectively, as shown in Figure 4.4f, h. The detail pore size and surface area values were evaluated and are presented in Table 4.2. The increase in pore radius indicates swelling of particles as observed virtually under the TEM (Figure 4.3c). Similar observations can be made in XRD, where the strain % increases with an increase in annealing time. The type-V isotherm at higher  $P/P_0$  is associated with molecular clustering, and pore filling observed in microporous and mesoporous adsorbents. In this work, BJH analysis is used for the determination of pore size. Since,  $N_2$  is used as the adsorbate with a cross-sectional area of 16.2  $Å^2$ / molecule, BJH analysis is valid for mesopores with pore sizes between 2 and 50 nm. The pore size for pristine  $HfO_2$  is slightly less than 2 nm and becomes ~4.9 nm upon hydrogen annealing for 10 h.

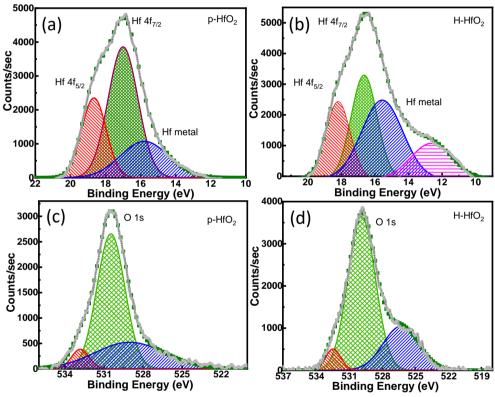
#### 4.2.4 Chemical Analysis: XPS Analysis

To study the chemical bonding of the synthesized  $HfO_2$  and the effect of different annealing atmosphere, XPS analysis was performed.  $HfO_2$  being highly insulating in nature showed a charging effect and hence a shift in the peak toward higher binding energy. The Hf 4f spectra for p-HfO<sub>2</sub> and hydrogenated-HfO<sub>2</sub> were fitted to three and four curves, respectively, corresponding to Hf 4f<sub>7/2</sub> and Hf 4f<sub>5/2</sub> peak levels for dioxides and suboxides (Figure 4.5a, b). The peak area ratios of j = 5/2 and j = 7/2 were kept at 1.4, and the binding energy difference

value of 1.7 eV was kept constant. The observed peaks have a large width (>0.9 eV) and hence can be assigned to suboxide and completely oxidized Hf<sup>4+</sup> (Table 4.3). The major peaks for p-HfO<sub>2</sub> and H-HfO<sub>2</sub> at 16.99 and 16.64 eV correspond to Hf  $4f_{7/2}$ , and the shoulder peak at 18.65 and 18.19 eV corresponds to Hf  $4f_{5/2}$ .

	p-H	fO <sub>2</sub>	H-HfO₂		
Signal	B.E. (eV)	FWHM (eV)	B.E. (eV)	FWHM (eV)	
Ο <sub>α2</sub>	532.8	1.6	532.4	1.6	
Ο <sub>α1</sub>	530.5	2.5	529.8	2.9	
Οβ	529.0	6.3	526.3	3.8	
Hf 4f <sub>7/2</sub>	17.0	2.0	16.6	1.8	
Hf 4f <sub>5/2</sub>	18.7	1.6	18.2	1.7	
Hf <sup>x+</sup> (suboxides)	15.8	3.2	15.6	2.9	
Hf° (metal, bulk)	-	-	12.6	2.9	

Table 4.3. Binding Energy of O 1s and Hf 4f Core Levels for p-HfO<sub>2</sub> and H-HfO<sub>2</sub>.



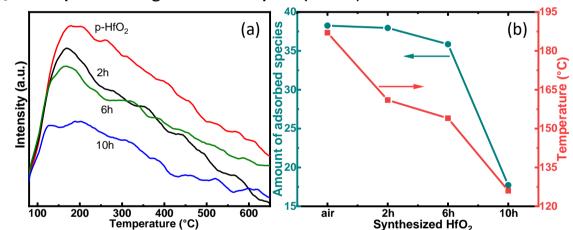
**Figure 4.5:** X-ray photoelectron spectra (XPS) of Hf 4f and O 1s in (a, c) pristine and (b, d) hydrogenated HfO<sub>2</sub>, respectively. The background subtracted raw experimental data is deconvoluted for identifying the peak contributions of Hf metal and suboxides.

**Table 4.4:** Surface oxygen species present in p-HfO<sub>2</sub>, H-HfO<sub>2</sub>\_6h where  $O_{\alpha 2}$ ,  $O_{\alpha 1}$  and  $O_{\beta}$ % are calculated from % area under respective peaks.

Sample	Ο <sub>α2</sub> (%)	Ο <sub>α1</sub> (%)	Ο <sub>β</sub> (%)	Ο <sub>α1</sub> /Ο <sub>β</sub>
p-HfO <sub>2</sub>	5.8	63.1	31.1	2.0
H-HfO₂_6h	5.3	68.7	25.9	2.6

The presence of a peak at binding energies of 16.64 and 16.99 eV for the Hf  $4f_{7/2}$  levels has been suggested as Hf<sup>2+</sup> from HfO.(Lin and Liao 2013) The observed chemical shift in hydrogenated-HfO<sub>2</sub> can be attributed to the removal of oxygen species during hydrogen annealing, creating a change in the bonding environment. Thus, it can be inferred that the Hf 4f

in H-HfO<sub>2</sub> must have partially reduced ions of Hf, which could increase the redox activity and the catalytic activity because of its mixed oxidation states. Peaks occurring at 15.78 and 15.56 eV can be correlated to the presence of suboxide species. (Suzer, Sayan et al. 2003) These values are nearly in accordance with the reported values in the literature. (Barreca, Milanov et al. 2007) The O 1s core level peak showed shifting in the binding energy for the air and hydrogen annealed  $HfO_2$  nanoparticle (Figure 4.5c, d). Each of the peaks is split into three peaks by means of Gaussian peak fitting. The O 1s spectra can be identified with features of chemisorbed oxygen  $(O_{\alpha})$  and lattice oxygen  $(O_{\beta})$  species. The oxygen species present corresponding to binding energies 530.47-529.85 eV can be attributed to the adsorbed surface oxygen or defect oxygen species on the oxygen vacancies ( $O_{\alpha 1}$ ), while the binding energies at 532.81-532.43 eV can be ascribed to the adsorbed oxygen species from the hydroxyl group and adsorbed molecular water species ( $O_{\alpha 2}$ ).(Fan, Xi et al. 2017) On the other hand, the oxygen species present at the lower binding energies of 529.02–526.28 eV is credited to the presence of lattice oxygen ( $O_{\theta}$ ). The amount of surface oxygen present is quantified (Table 4.4) as the ratio of the peak area of  $O_{\alpha 1}$ and  $O_{\beta}$  ( $O_{\alpha 1}/O_{\beta}$ ). (Zhang, Niu et al. 2016) It was observed that the hydrogenated HfO<sub>2</sub> has higher  $O_{\alpha 1}/O_{\beta}$  than air annealed HfO<sub>2</sub> by a value of 0.61. Thus, it can be confirmed that even though the air annealed HfO<sub>2</sub> has higher lattice oxygen species present, the hydrogenated HfO<sub>2</sub> overall has higher surface adsorbed oxygen species and therefore might be a beneficial catalyst for exploring soot oxidation activity.



4.2.5 O<sub>2</sub> Temperature-Programmed Desorption (O<sub>2</sub>-TPD)

**Figure 4.6:**  $O_2$ -TPD profile and (b) desorption peak temperature for adsorbed oxygen calculated from peak for  $HfO_2$  nanoaggregate samples.

The O<sub>2</sub> TPD measurement was done to further investigate the oxygen species in the pristine and hydrogenated HfO<sub>2</sub> as shown in Figure 4.6a. According to the literature reports, the oxygen species desorbed at a temperature less than 300 °C can be categorized as O<sub>a</sub>; these are active surface adsorbed species and corroborate well with the XPS result. Meanwhile, peaks appearing after 300 °C can be assigned to lattice oxygen (O<sub>β</sub>) desorption and are ascribed to the release of oxygen from the lattice in oxide material.(Liang, Wu et al. 2008, Fu, Yue et al. 2010) The absence of O<sub>β</sub> is understandable, as the lattice oxygen in HfO<sub>2</sub> is not mobile enough to participate in the oxidation process. Broad peaks are observed at temperatures less than 120–190 °C, corresponding to the surface-active oxygen (O<sup>2−</sup> and O<sup>−</sup>) species as a result of chemical adsorption.

ſ	SI.	Sample	Temperature	Quantity	Amount of adsorbed
	No.		Desorption (°C)	(mmol/g)	species (%)
	1.	p-HfO₂	187.7	2.62	38.25
	2.	H-HfO₂_2h	161.4	1.17	37.95
	3.	H-HfO₂_6h	154.7	1.27	35.86

 Table 4.5.
 O2-TPD parameters of the synthesized catalysts

4.   H-HfO₂ 10h   126.8   0.26   17.72
--

These are the main reactive species in the catalytic oxidation reaction because of its labile nature. (Jampaiah, Velisoju et al. 2017, Li, Du et al. 2017) The detailed parameters of the study are given in Table 4.5. Hydrogenated HfO<sub>2</sub> results in a decrease in the amount of adsorbed species (as seen by the decrease in peak area) with a drop in desorption temperature as shown in Figure 4.6b. The drop in desorption temperature follows a similar trend as that of the increase in lattice strain (Figure 4.2d) in H-HfO<sub>2</sub> nanoaggregates which suggests that lattice strain caused by hydrogenation changes the surface and binding behaviour in the synthesized samples.

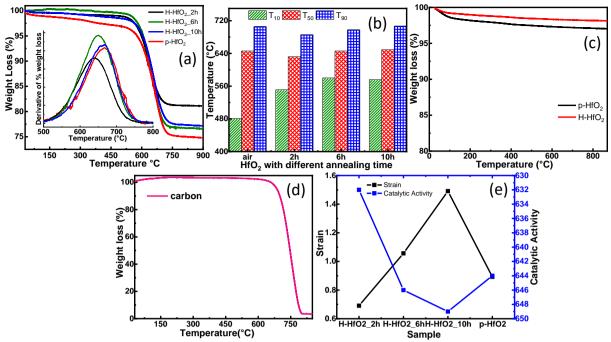
#### 4.2.6 Activity Test: Soot Oxidation Activity.

A comparison weight loss curve of pristine and hydrogenated HfO<sub>2</sub> nanoaggregates is shown in Figure 4.7d. The weight change profile shows a decrease in slope below 100 °C, which can be attributed to the decomposition of water and other organics. This step is followed by saturation (only ~1% decrease), leaving the mass of the original HfO<sub>2</sub> nearly constant, which indicates the stability of HfO<sub>2</sub> over a wide range of temperatures. The as-synthesized p-HfO<sub>2</sub> and H-HfO<sub>2</sub> samples were used for analyzing the soot oxidation activity because of its fine particle size and highly porous structure. The soot oxidation is tested under zero air (21% O<sub>2</sub> in N<sub>2</sub>).

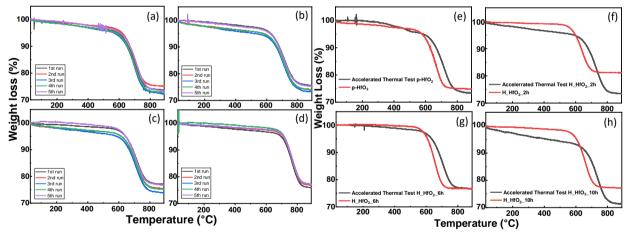
SI.	Samples	T <sub>m</sub> (in °C)		T₁₀(in °C)		T₅₀(in °C)		T <sub>90</sub> (in °C)	
No.		As	After	As	After	As	After	As	After
		synthesized	ATT	synthesized	ATT	synthesized	ATT	synthesized	ATT
1.	p-HfO₂	658	705	481	448	644	692	706	761
2.	H-HfO₂_2h	636	722	551	296	632	707	686	774
3.	H-HfO₂_6h	649	704	580	571	646	690	698	751
4.	H-HfO₂_10h	663	724	576	217	649	711	707	780
5.	Carbon	748	-	699	-	745	-	782	-

Table 4.6. TGA parameters of the as synthesized HfO<sub>2</sub> and after accelerated thermal test (ATT)

Figure 4.1b is a schematic representation of the process for analyzing the soot oxidation activity. Briefly, the soot is mixed with the synthesized catalyst and then put in a TGA with an O<sub>2</sub> gas inlet. The soot oxidation profile in terms of percentage weight loss curve against temperature (in °C) is shown in Figure 4.7a. The light-off temperature at which 50% of the total weight loss occurs  $(T_{50})$ , and the temperature at the inflection point  $(T_m)$  obtained from the derivative curve are used to study the temperature of maximal soot conversion rate where the  $CO_2$  is maximum. (Aneggi, de Leitenburg et al. 2006) The  $T_{50}$  for bare soot (carbon black) oxidation without a catalyst is 746 °C (Figure 4.7d). After the inclusion of catalysts, the temperature for 50% conversion is decreased to 644 °C for air annealed p-HfO<sub>2</sub> which further decreases for the hydrogenated HfO<sub>2</sub> to 632 °C for H-HfO<sub>2</sub>\_2h. Thus, it can be deduced that the presence of the HfO<sub>2</sub> catalyst accelerates the soot oxidation activity. Without the use of the catalyst, the onset temperature ( $T_{10}$ ), at which 10% soot conversion takes place, is 699 °C, which is reduced to a range of (481–580 °C) in the presence of the HfO<sub>2</sub> (Table 4.6 and Figure 4.7b). The presence of HfO<sub>2</sub> decreases T by about (96-113) °C. Among all of the screened catalysts, p-HfO<sub>2</sub> showed the minimum T<sub>10</sub> activity at 481 °C, while H-HfO<sub>2</sub>\_2h showed good catalytic performance, in which the T<sub>10</sub> and T<sub>50</sub> decrease by 148 and 113 °C, respectively. Thus, the soot conversion under the tight contact mode and in the presence of catalysts according to the T10 activity followed the trend  $p-HfO_2 < H HfO_2 2h < H HfO_2 10h < H HfO_2 6h$  (Figure 4.7a). Catalyst incorporated soot oxidation experiments revealed that the T<sub>m</sub> values decrease in chronological order: 663, 658, 649, and 636 °C for H-HfO2\_10h, p-HfO2, H-HfO2\_6h, and H-HfO<sub>2</sub>\_2h, respectively.



**Figure 4.7:** (a) TGA profiles of carbon soot/HfO<sub>2</sub> mixtures (catalyst/soot ratio of 4:1) of different HfO<sub>2</sub> samples annealed in air and hydrogen, % weight loss curve and inset is the derivative of weight loss function indicating oxidative peak profile. (b) Bar graph showing the T10, T50, and T90 temperatures in (°C) for all of the prepared samples; the values are indicated in Table 4.5. (c) Thermogravimetric analysis of pristine and hydrogenated HfO<sub>2</sub>. (d) TGA data showing the weight loss curve of carbon with T<sub>50</sub> at 746 °C. (e) Plot of Strain and catalytic activity of the synthesized nanomaterials.



**Figure 4.8:** Reusability test of the synthesized nanomaterials as the catalyst, TGA plot of the as synthesized samples of HfO<sub>2</sub> with and without an accelerated thermal test.

Although the onset temperature ( $T_{10}$ ) is lowest for p-HfO<sub>2</sub>, the peak temperature ( $T_m$ ), light-off temperature ( $T_{50}$ ), and the maximal conversion temperature ( $T_{90}$ ) are lowest for the 2 h hydrogen annealed HfO<sub>2</sub>. The good performance is attributed to higher surface adsorbed oxygen in the case of hydrogenated HfO<sub>2</sub> (as described above in Chemical Analysis). The active oxygen present for the soot conversion activity highly depends on the number of oxygen vacancies created upon the surface of the oxide material used. (Fino, Bensaid et al. 2016) Consequently, the chemisorbed oxygen due to the surface adsorbed oxygen species present on the oxygen vacant sites contributed remarkably during the soot oxidation, which agrees well with the XPS and O<sub>2</sub>-TPD results as well. The durability of the catalyst is further tested by performing an accelerated thermal test. The catalyst is heated at 850 °C under humidified N<sub>2</sub> for 2 h followed by an oxidation cycle. The results are shown in Figure 4.8a-d and Table 4.6. The catalyst has been tested for five cycles and showed little loss of catalytic activity (Figure 4.8d-h). Future studies will involve testing the optimized catalyst with other gaseous species such as

 $H_2O$ ,  $CO_x$ ,  $NO_x$ , and hydrocarbons to understand the applicability of the catalyst in real conditions.

# 4.3 Conclusion

Although many innovative designs of materials for soot oxidation have been proposed over the years, it is the first for HfO<sub>2</sub> to be used as a catalytic material. Herein, this study, efforts to demonstrate a facile method for synthesizing HfO<sub>2</sub> nanoaggregates, the textural and structural properties of which can be changed by hydrogen annealing. Analysis using various techniques revealed the effect of hydrogen annealing, which was identified to cause increased lattice strain and visible expansion of the nanoaggregates in the TEM images, giving rise to its porous nature and strongly affecting the surface and binding of adsorbates, which was further confirmed by BET. In addition, XPS and O2-TPD analysis observed a higher percentage of surface adsorbed oxygen compared to the lattice oxygen present, prompting good catalytic soot oxidation activity. This adsorbed surface oxygen desorbed at lower temperatures showed the labile nature of the active oxygen species involved during the oxidation reaction. Moreover, hydrogenation created higher oxygen vacant sites, increasing the possible generation of active oxygen species and the possibility to gain oxygen form the bulk of the materials and other gaseous sources. Thus, inert and stable HfO<sub>2</sub> nanomaterial can be effectively optimized by tuning its properties at lower temperature under a reducing atmosphere. These nanomaterials can be further probed for properties like electrical and optical properties for use in various devices.

...